

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF
MURRAY ET AL.
APPLICATION NO: TO BE ASSIGNED
FILED: OCTOBER 22, 2001
FOR: METHODS FOR USE OF MPL LIGANDS WITH PRIMITIVE HUMAN
STEM CELLS

Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This paper is being filed within three months of the filing date of the application. Therefore, no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-0134. A duplicate of this paper is enclosed.

In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449. The listed references are of record in parent Application No. 09/328,188 filed June 8, 1999, and copies are available therein in accordance with 37 C.F.R. §1.98(d). However, applicants are willing to send copies of any or all of these references at the Examiner's request.

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C. §102.

FORM PTO-1449 (REV. 7-85)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY. DOCKET NO. 4-127-1027B/D1C1 APPLICATION NO.

Sheet 1 of 3

APPLICANT MURRAY ET AL. FILING DATE October 22, 2001



U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	AA	4,714,680	12/22/87	Civin, C.I.			2/6/84
	AB	5,061,620	10/29/91	Tsukamoto, et al.			3/30/90
	AC	5,147,784	9/15/92	Peault, B.			4/12/90
	AD	5,728,581	3/17/98	Schwartz, et al.			6/7/95
	AE	5,989,537	11/23/99	Holly, et al.			6/7/95
	AF						
	AG						
	АН					L	
	Al						
	AJ					1	
	AK						
	AL						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRAN YES	SLATION NO
AM	WO 92/11355	7/9/92	PCT				
AN	WO 95/03693	2/9/95	PCT				
AO	WO 95/21626	8/17/95	PCT				
AP	WO 96/40876	12/19/96	PCT				
AQ							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

AR	Baum, et al., "Isolation of a Candidate Human Hematopoietic Stem-Cell Population," Proc. Natl. Acad. Sci., USA, 89:2804-2808 (April 1992)
AS	Bartley, et al., "Identification and Cloning of a Megakaryocyte Growth and Development Factor that is a Ligand for the Cytokine Receptor Mpl," Cell, 77:1117-1124 (July 1, 1994)
АТ	Berenson, et al., "Engraftment After Infusion of CD34" Marrow Cells in Patients with Breast Cancer or Neuroblastoma," Blood, 77(8):1717-1722 (April 15, 1991)

EXAMINER

DATE CONSIDERED

^{*}EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY. DOCKET NO. 4-127-1027B/D1C1 APPLICATION NO.

APPLICANT MURRAY ET AL. FILING DATE October 22, 2001

Group

Sheet 2 of 3

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

	OTTIER DOCUMENTS (Include	ing Addition, Title, Date, Pertinent pages, Etc./		
AA	Bruno, et al., Blood, 86(10):Abstract 1	1449 (1995)		
АВ	Chen, et al., "Engraftment of Human Hematopoietic Precursor Cells with Secondary Transfer Potential in SCID-hu Mice," <u>Blood</u> , 84(8):2497-2505 (October 15, 1994)			
AC	Choi, et al., "Platelets Generated In Vitro From Proplatelet-Displaying Human Megakaryocytes are Functional," <u>Blood</u> , 85(2):402-413 (January 15, 1995)			
AD	Craig, et al., "Expression of Thy-1 on 177:1331-1342 (May 1993)	Human Hematopoietic Progenitor Cells," <u>J. Exp. Med.</u> ,		
AE	Debili, et al., "The mpl Receptor is Ex to Platelets," <u>Blood</u> , 85(2):391-401 (Ja	pressed in the Megakaryocytic Lineage from Late Progenitors anuary 15, 1995)		
AF	de Sauvage, et al., "Stimulation of Me Ligand," Nature, 369:533-538 (June 1	gakaryocytopolesis and Thrombopolesis by the c-mpl 6, 1994)		
AG		gulation of Early and Late Stages of Megakaryocytopolesis by ental Medicine, 183:651-656 (February 1, 1996)		
AH	Eck, et al., "Gene-Based Therapy," in Therapeutics, McGraw-Hill: New York	Goodman & Gilman's <u>The Pharmacological Basis of</u> s, Ninth Edition, pp. 77-101 (1996)		
AI	Gurney, et al., "Thrombocytopenia in 1994)	c-mpl-Deficient Mice," <u>Science</u> , 265:1445-1447 (September		
AJ	Jorgensen, et al., Blood, 84(10):Abstr	act 1301 (1994)		
AK	Kaushansky, et al., "Thrombopoietin Expands Erythroid Progenitors, Increases Red Cell Production, and Enhances Erythroid Recovery After Myelosuppressive Therapy," <u>J. Clin, Invest.</u> 96:1683-1687 (1995)			
AL	gakaryocyte Progenitor Expansion and Differentiation by the 2, 369:568-571 (1994)			
AM	Molecular and Cellular Biology of the Critical Regulator of Cells, 12:91-97 (1994)			
AN	Lok, et al., "The Structure, Biology an Thrombopoietin," <u>Stem Cells</u> , 12:586-	d Potential Therapeutic Applications of Recombinant 598 (November 1994)		
EXAMINER	1	DATE CONSIDERED		

*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

FORM PTO-1449 (REV. 7-85)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY. DOCKET NO. 4-127-1027B/D1C1 APPLICATION NO.

APPLICANT MURRAY ET AL. FILING DATE October 22, 2001

Group

Sheet 3 of 3

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

	, , , , , , , , , , , , , , , , , , , ,
AA	Lu, et al., "High Efficiency Retroviral Mediated Gene Transduction into Single Isolated Immature and Replatable CD34(3+) Hematopoietic Stem/Progenitor Cells from Human Umbilical Cord Blood," Journal of Experimental Medicine, 178:2089-2096 (December 1993)
AB	Mitani, et al., "Transduction of Human Bone Marrow by Adenoviral Vector," <u>Human Gene Therapy</u> , 5:941-948 (August 1994)
AC	Миггау, et al., "Analysis of Human Hematopoietic Stem Cell Populations," <u>Blood Cells</u> , 20:364-370 (1994)
AD	Murray, et al., "Enrichment of Human Hematopoletic Stem Cell Activity in the CD34"Thy-1"Lin Subpopulation from Mobilized Peripheral," <u>Blood</u> , 85(2):368-378 (January 15, 1995)
AE	Reading, et al., Proceedings of ISEH Meeting 1994, Abstract, Exp. Hematol., 22:786 (1994)
AF	Schmidt-Wolf, et al., <u>Journal of Hematotherapy</u> , 4:551-561 (1995)
AG	Spangrude, et al., "Purification and Characterization of Mouse Multipotent Hematopoietic Stem Cells," <u>Science</u> , 241:58-62 (July 1988)
АН	Spangrude, et al., "Resting and Activated Subsets of Mouse Hematopoietic Stem Cells," Proc. Natl. Acad. Sci. USA , 7433-7437 (October 1990)
Al	Sutherland, et al., "Differential Sensitivity of cd34 Epitopes to Cleavage by Pastereulla Haemolytica Glycoprotease: Implications for Purification of cd34-positive Progenitor Cells," <u>Exp. Hematol.</u> , 20:590-599 (1992)
AJ	Young, et al., <u>Blood</u> , 88:1619-1631 (September 1, 1996)
AK	Zeigler, et al., "In Vitro Megakaryocytopoietic and Thrombopoletic Activity of c-mpl Ligand (TPO) on Punfied Murine Hematopoietic Stem Cells," <u>Blood</u> , 84(12)4045-4052 (December 1994)
AL	
AM	
AN	
EVAMINED	DATE CONSIDERED

EXAMINER

DATE CONSIDERED

^{*}EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted.

Novartis Corporation Patent and Trademark Dept. 564 Morris Avenue Summit, NJ 07901-1027

Date: October 22, 2001

Geoffrey M. Karny
Attorney for Applicants

Attorney for Applic Reg. No. 31,382 (301) 258-4640